

# Sanctions Exposure Questionnaire



This questionnaire will help us manage our regulatory obligations by understanding the nature and extent of your activities in sanction linked countries. The obligations stem from the financial sanctions imposed by a number of domestic and international authorities, including the UN, EU, OFSI (HMT) and OFAC.

<b>Legal Entity Name</b>	
<b>Group Name</b> (if applicable) Please provide the name of the group and the ultimate parent company.	
<b>Do you have a relationship with Santander in other jurisdictions?</b> The above applies to both the entity and the group, where applicable.	

## Exposure to sanctioned countries

### 1 Do you have any current or planned activities<sup>1</sup> in any of the following countries? (Please tick all that apply, if no leave blank)

<sup>1</sup> Please note that activities include but are not limited to operations, presence, distributors, goods/services provided, contracts, third party relationships, suppliers, connections, significant assets held, origin of goods handled/processed/shipped, receiving instructions from, interactions with/exposure to state owned or controlled entities or commercial exposure.

Iran	<input type="checkbox"/>	Sudan	<input type="checkbox"/>	Crimea/Sevastopol regions of Ukraine	<input type="checkbox"/>
North Korea	<input type="checkbox"/>	Syria	<input type="checkbox"/>	Venezuela	<input type="checkbox"/>
South Sudan	<input type="checkbox"/>	Cuba	<input type="checkbox"/>	Russia	<input type="checkbox"/>

### 2 Do you receive any indirect revenue<sup>2</sup> from/facilitate movement of funds/goods/services to any of the above sanctioned jurisdictions?

<sup>2</sup> Please note that indirect revenue refers to income (i) from sources other than the primary operations of your business or (ii) via a person or entity who/which provides a conduit for money to be transferred from persons/entities connected to the above sanctioned jurisdictions.

Yes ☐ No ☐

### 3 Do you operate in any of the following sanction targeted countries? (Please tick all that apply, if no leave blank)

Afghanistan	<input type="checkbox"/>	Egypt	<input type="checkbox"/>	Maldives	<input type="checkbox"/>	Serbia and Montenegro	<input type="checkbox"/>
Belarus	<input type="checkbox"/>	Guinea	<input type="checkbox"/>	Mali	<input type="checkbox"/>	Somalia	<input type="checkbox"/>
Bosnia and Herzegovina	<input type="checkbox"/>	Guinea-Bissau	<input type="checkbox"/>	Montenegro	<input type="checkbox"/>	Tunisia	<input type="checkbox"/>
Burundi	<input type="checkbox"/>	Iraq	<input type="checkbox"/>	Myanmar (also known as Burma)	<input type="checkbox"/>	Turkey	<input type="checkbox"/>
Central African Republic	<input type="checkbox"/>	Lebanon	<input type="checkbox"/>	Nicaragua	<input type="checkbox"/>	Yemen	<input type="checkbox"/>
Democratic Republic of Congo	<input type="checkbox"/>	Libya	<input type="checkbox"/>	Republic of Serbia	<input type="checkbox"/>	Zimbabwe	<input type="checkbox"/>

### 4 Do you require any licences to undertake activity in any sanctioned jurisdiction, or to import/export goods or technologies or to conduct activities with a target of sanctions?

Yes ☐ No ☐

**5 Do you conduct any activities<sup>3</sup> with any Government of a Sanctioned Country (all categories of sanctions risk)?**

<sup>3</sup>Please note that activities include but are not limited to operations, presence, distributors, goods/services provided, contracts, third party relationships, suppliers, connections, significant assets held, origin of goods handled/processed/shipped, receiving instructions from, interactions with/exposure to state owned or controlled entities or commercial exposure.

Yes ☐ No ☐

**6 Do you operate or plan to operate in the precious metals, petrochemical, airports, insurance, travel agents, aircraft, petroleum, oil or gas industries or the military or defence sectors?**

Yes ☐ No ☐

**7 Do you produce, import, export or deal in any goods or technologies that are considered dual-use?**

Yes ☐ No ☐

**8 Do you produce any goods or technologies that contain US origin counterparts/components? (US export/import controls have wide ranging controls and restrictions, this question helps Santander UK understand your overall risk exposure.)**

Yes ☐ No ☐

Please tick below to confirm the information provided is correct, to the best of your knowledge, and you will not use Santander products and/or services in a way that would breach international sanctions (UN, EU, UK-OFSI or OFAC).

Name

Position

Signature

Date

D	D	M	M	Y	Y	Y	Y
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